



**European IPR Helpdesk**

## *MEMORANDUM OF UNDERSTANDING (Traccia per le negoziazioni in Horizon 2020)*

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## MEMORANDUM OF UNDERSTANDING

Il Memorandum of Understanding (MoU) in Horizon 2020 è un accordo che definisce il quadro delle negoziazioni tra i partner di un consorzio e generalmente viene concluso nelle primissime fasi iniziali delle negoziazioni relative alla partecipazione al progetto, anche prima di presentare la proposta.

Il MoU esprime una convergenza di intenti tra i partner, indicando una linea di azione comune condivisa, aiutando a delineare i protocolli di comunicazione, lo scambio di informazioni, la reportistica, le questioni di confidenzialità, oltre che le modifiche e le condizioni per la risoluzione dell'accordo.

Il MoU è un accordo che può essere utilizzato dai partecipanti a uno stesso progetto e il cui scopo è quello di delineare i servizi che saranno scambiati e le attività da svolgere entro un determinato periodo di tempo. Può essere molto utile come strumento per facilitare una collaborazione efficace e i vincoli di impegno tra le parti, diventare strumento auspicabile che lega le parti in vista del raggiungimento di uno specifico risultato e aiutare a consolidare la collaborazione tra i membri di un consorzio.

In conclusione, questi accordi sono utilizzati quali equivalenti delle lettere di intenti, le quali esprimono l'interesse dei partecipanti a prestare servizi o l'intenzione a prendere parte ad attività, senza avere per le parti efficacia vincolante. Nel MoU i sottoscrittori si accordano tra loro in buona fede, sulla base di una descrizione corretta e veritiera delle loro intenzioni.

I MoU hanno la forma di documenti legali. Tuttavia, essi non hanno la stessa efficacia vincolante dei contratti, anche se sono più efficaci e formali di un tradizionale *gentleman's agreement*.

Questa traccia deve essere utilizzata tenendo presente che deve essere modificata in considerazione della situazione concreta e delle esigenze dei partner che sottoscrivono l'accordo. Alcuni termini e condizioni sono essenziali per il MoU, mentre altri dovrebbero essere inseriti solo se appropriati. E' buona norma includere ciò che appare ragionevole.

## MEMORANDUM OF UNDERSTANDING AND NON-DISCLOSURE AGREEMENT

**THIS AGREEMENT** [*the Agreement*] is entered into on this [*insert number of day*] day of [*insert Month and year*] by and between:

1. [*Insert official name of the potential partner or participant*], having its registered office or based in [*insert the Legal Address of the Entity*] hereinafter referred to as [...] and
2. [*Insert official name of the potential partner or participant*], having its registered office or based in [*insert the Legal Address of the Entity*] hereinafter referred to as [...]

[*Please add the identification of all the potential partners and participants that will take part in this Agreement*]

Individually referred to as a “Party” or collectively as the “Parties”.

### WHEREAS

The Parties initiated contacts between themselves with the intention to submit a proposal (hereinafter referred to as the Proposal) for a collaborative project in response to the call [*identify the call*] under the Horizon 2020 programme;

The Parties have agreed to name this collaborative project as [please insert the name and acronym] (hereinafter referred to as the “**Project**”);

The Parties, provided that the Proposal has a positive evaluation, wish to prepare with the European Commission the signature of a Grant Agreement, and to negotiate between them a Consortium Agreement;

The Parties acknowledge that a Party may at any time wish to stop its involvement in the preparation of the Proposal and the preparation and negotiation of a Grant Agreement and Consortium Agreement and no longer sign or accede to the Grant Agreement;

For the purpose of the submission of the Proposal and for the preparation and negotiation of a Grant Agreement and a Consortium Agreement, the Parties intend to disclose information to each other, which they wish to keep confidential.

## THE PARTIES AGREE AS FOLLOWS:

### 1. Proposal preparation

- 1.1. The Parties wish to prepare and submit together a Proposal for a collaborative project in response to the call [please identify the call], whose deadline is [please insert the deadline], under Horizon 2020;
- 1.2. The Parties agree that each Party shall not prepare or submit any additional proposal under the same call identified in clause 1.1. of this Memorandum of Understanding and Non-Disclosure Agreement (hereinafter referred to as “MoU & NDA”);
- 1.3. The Parties designate [please insert the name of the participant] as the Coordinator of the project (hereinafter referred to as the “**Coordinator**”) to represent the Parties towards the European Commission and to submit the Proposal in due time.
- 1.4. With the purpose to prepare and submit the Proposal in due time, the Parties agree:
  - i) to meet or correspond as necessary to prepare and decide the details of the Proposal;
  - ii) that each Party shall use its best endeavours to prepare all the documents, data and information necessary for the preparation of the Proposal and to provide them to the Coordinator in due time. In particular, each Party shall provide the Coordinator with its participant identification code (PIC).
- 1.5. The Coordinator agrees not to modify, without previous consent, any document, data or information supplied by the other Parties.
- 1.6. The Coordinator shall keep the Parties informed of the progress of the Proposal’s preparation and, at any Party’s request, it shall make available a copy of all letters, emails or any other documents concerning the Proposal that were sent to the European Commission or received from it before the submission of the Proposal.

## 2. **Grant Preparation with the European Commission**

- 2.1. Provided that the Proposal has a positive evaluation and that the Parties are invited to prepare the Grant Agreement by the European Commission, the Parties wish to collaborate with the purpose to conclude a Grant Agreement with the European Commission.
- 2.2. The Parties agree that the Coordinator shall be responsible for conducting the preparation foreseen under clause 2.1. of this MoU.
- 2.3. The Coordinator shall keep the Parties informed of the progress of the grant preparation and, at any Party's request, it shall make available a copy of all letters, emails or any other documents that were sent to the European Commission or received from it for this purpose, before the signature of the Grant Agreement. The Coordinator shall, in any case, send to the other Parties a copy of the invitation to prepare the grant.
- 2.4. Upon request of the Coordinator, the Parties shall attend the meetings with the European Commission.
- 2.5. The Parties agree to assist the Coordinator in the preparation and to provide it with the necessary documents, data and information in order to allow the signature of the Grant Agreement in due time.
- 2.6. Adjustments shall be negotiated in good faith by the Parties. Any adaptation or modification concerning the work packages shall be accepted by the Coordinator only with the prior written agreement of the Party concerned.

## 3. **Negotiations of the Consortium Agreement**

- 3.1. Provided that the Proposal has a positive evaluation and that the Parties are invited to sign the Grant Agreement with the European Commission, the Parties wish to collaborate with the purpose to conclude a Consortium Agreement before the signature of the Grant Agreement. The conclusion of such an agreement is dependent on mutual consent and must be reduced to written form.
- 3.2. The Parties agree that the Coordinator shall be responsible for conducting the negotiations foreseen under clause 3.1. of this MoU.
- 3.3. The Parties agree that the negotiation of the Consortium Agreement will be based in the following principles:  
[...]

*[the Parties may include, whenever possible, the current intentions that they have in terms of the content to be included in the Consortium Agreement in order to avoid future misunderstandings. In terms of intellectual property rights, you may already include the current ideas in terms of ownership, transfer, protection, exploitation and dissemination of project results and access rights. Another option, is to define that the negotiations of the Consortium Agreement shall be based on one of the available models, which should in this case be attached to this MoU & NDA]*

#### **4. Confidentiality Agreement**

- 4.1. For the purpose of this agreement "Confidential Information" shall mean any and all information, which is supplied or disclosed, directly or indirectly, in writing or in any other means, by each Party to the other including, but not limited to any documents, drawings, sketches, designs, materials, samples, prototypes, data, know-how, and which at the time of its disclosure or supply is identified as confidential. Oral information shall be recorded in writing by the Party disclosing it within fifteen (15) days after disclosure, and the resulting document shall specifically state the date of disclosure and designate the information as confidential.
- 4.2. For the purpose of this agreement "the Recipient" shall mean the Party receiving the Confidential Information disclosed by the other Party.
- 4.3. Each Party intends to disclose Confidential Information to the other Party in the framework of the Horizon 2020 call [please identify the call] for (i) the preparation and submission of a proposal and (ii) preparation, negotiation and conclusion of a Grant Agreement and Consortium Agreement (hereinafter referred to as the "Purpose"). Nothing in this agreement shall be regarded as compelling a Party to disclose any Confidential Information.
- 4.4. The Recipient shall:
  - i. undertake to keep the Confidential Information confidential and not to disclose it nor to permit the disclosure of it to any third party, except in accordance with clause 4.7. of this agreement, and not to make it available to the public or accessible in any way, except with the prior written consent of the Party disclosing it;
  - ii. undertake to use the Confidential Information solely for the Purpose of this agreement and not to make any other use, whether commercial or non-commercial, without the prior written consent of the Party disclosing it.

- 4.5. The obligations specified in clauses 5.3. and 5.4. above shall not apply in the following cases:
- i. the Confidential Information was known to the Recipient prior to the time of its receipt pursuant to this agreement otherwise than as a result of the Recipient's breach of any legal obligation; or
  - ii. the Confidential Information is in the public domain at the time of disclosure by the Party to the Recipient or thereafter enters the public domain without any breach of the terms of this agreement; or
  - iii. the Confidential Information becomes known to the Recipient through disclosure by sources, other than the Party disclosing it, having the legal right to disclose such Confidential Information; or
  - iv. the Recipient proves the Confidential Information has been developed independently by its employees, who had no access to any of the Confidential Information disclosed by the Party disclosing it to the Recipient.
- 4.6. The Recipient shall limit and control any copies and reproductions of the Confidential Information. The Recipient shall return all records or copies of the Confidential Information at the request of the other Party and at the latest on termination of this agreement. This shall not apply to Confidential Information or copies thereof which must be stored by the Recipient according to mandatory law, provided that such Confidentiality Information or copies thereof shall be subject to an indefinite confidentiality obligation.
- 4.7. The Recipient undertakes to disclose the Confidential Information only to its employees who:
- i. reasonably need to receive the Confidential Information for the Purpose of the present agreement; and
  - ii. have been informed by the Recipient of the confidential nature of the Confidential Information and of the terms of the present agreement; and
  - iii. have been advised of and agree to be bound by equivalent obligations to those in the present agreement.
- 4.8. All Confidential Information shall remain the exclusive property of each Party as well as all patent, copyright, trade secret, trade mark and other intellectual property rights therein. The Parties agree that this agreement and the disclo-

sure of the Confidential Information do not grant or imply any license, interest or right to the Recipient in respect to any intellectual property right of the other Party.

## 5. **Intellectual Property Rights**

The Parties acknowledge that nothing in this MoU & NDA shall affect ownership of any intellectual property rights.

## 6. **Miscellaneous**

- 6.1. This Agreement shall be effective from the date of signature of the last Party to sign and shall remain in force for twelve months or until the date of the entry into force of the Grant Agreement signed with the European Commission, whichever comes first. Notwithstanding the aforementioned, the obligations under clause 4 of this MoU & NDA shall remain in force for period of five (5) years after the date of expiry or termination.
- 6.2. All the clauses of this Agreement are intended to be legally binding, with the exceptions of clauses 1, 2 and 3.
- 6.3. A Party may at any time withdraw from the Project and terminate its involvement in the activities set out in this MoU & NDA. Such termination shall be done in writing to all other Parties. For the avoidance of doubt, the binding clauses of this MoU & NDA in accordance with clause 6.2., shall continue to apply to all Parties during the term established in clause 6.1. notwithstanding any withdraw and termination.
- 6.4. Any dispute that might arise concerning this MoU & NDA shall be settled amicably. If this is not possible, the Parties hereby submit any dispute relating to the exclusive jurisdiction of the [please insert the court].
- 6.5. This MoU & NDA shall be governed by and construed in accordance with the laws of [please insert the country].
- 6.6. Each Party shall cover its own costs related to the preparation and submission of the Proposal, as well as the preparation, negotiation and conclusion of a Grant Agreement and Consortium Agreement.

**IN WITNESS WHEREOF**, the Parties hereto have caused this Memorandum of Understanding to be executed as of the date stated above.

FOR *[please insert name of participant or potential or current partner]*



*[please insert name of representative]*

[please insert title]

Done at [place] on [date]

*[please add the identification of all the potential participants]*

Per maggiori informazioni, consultate il fact sheet “**La gestione della PI in Horizon 2020: in fase di proposta**” disponibile sulla library:

<http://www.iprhelpdesk.eu/node/2309>

## CONTATTI

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L'European IPR Helpdesk è un servizio volto a accrescere la consapevolezza delle questioni relative alla Proprietà intellettuale (PI) e diritti di proprietà intellettuale (DPI). Fornisce informazioni, consulenza diretta e formazione su questioni di PI e DPI ai partecipanti attuali o potenziali ai progetti finanziati dalla UE. Inoltre, l'European IPR Helpdesk offre un supporto in materia di PI alle PMI dell'UE per negoziare o concludere accordi di collaborazione transnazionali, in particolare attraverso l'Enterprise Europe Network. Tutti i servizi sono gratuiti.

**Helpline:** il servizio di Helpline risponde alle domande su questioni di PI entro 3 giorni lavorativi. Contattateci registrandovi sul nostro sito – [www.iprhelpdesk.eu](http://www.iprhelpdesk.eu) – oppure per telefono o fax.

**Sito Web:** Sul nostro sito web è possibile trovare informazioni complete e documenti utili sui diversi aspetti della PI e sulla gestione della PI nel contesto dei programmi finanziati dalla UE.

**Newsletter e Bollettino:** Tenetevi aggiornati sulle ultime news sulla PI e consultate articoli di esperti e case study iscrivendovi alla nostra newsletter e al Bollettino.

**Formazione:** Abbiamo messo a punto un catalogo di formazione che si compone di nove moduli diversi. Se siete interessati a organizzare una sessione con noi, mandateci semplicemente una mail al seguente indirizzo [training@iprhelpdesk.eu](mailto:training@iprhelpdesk.eu)

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